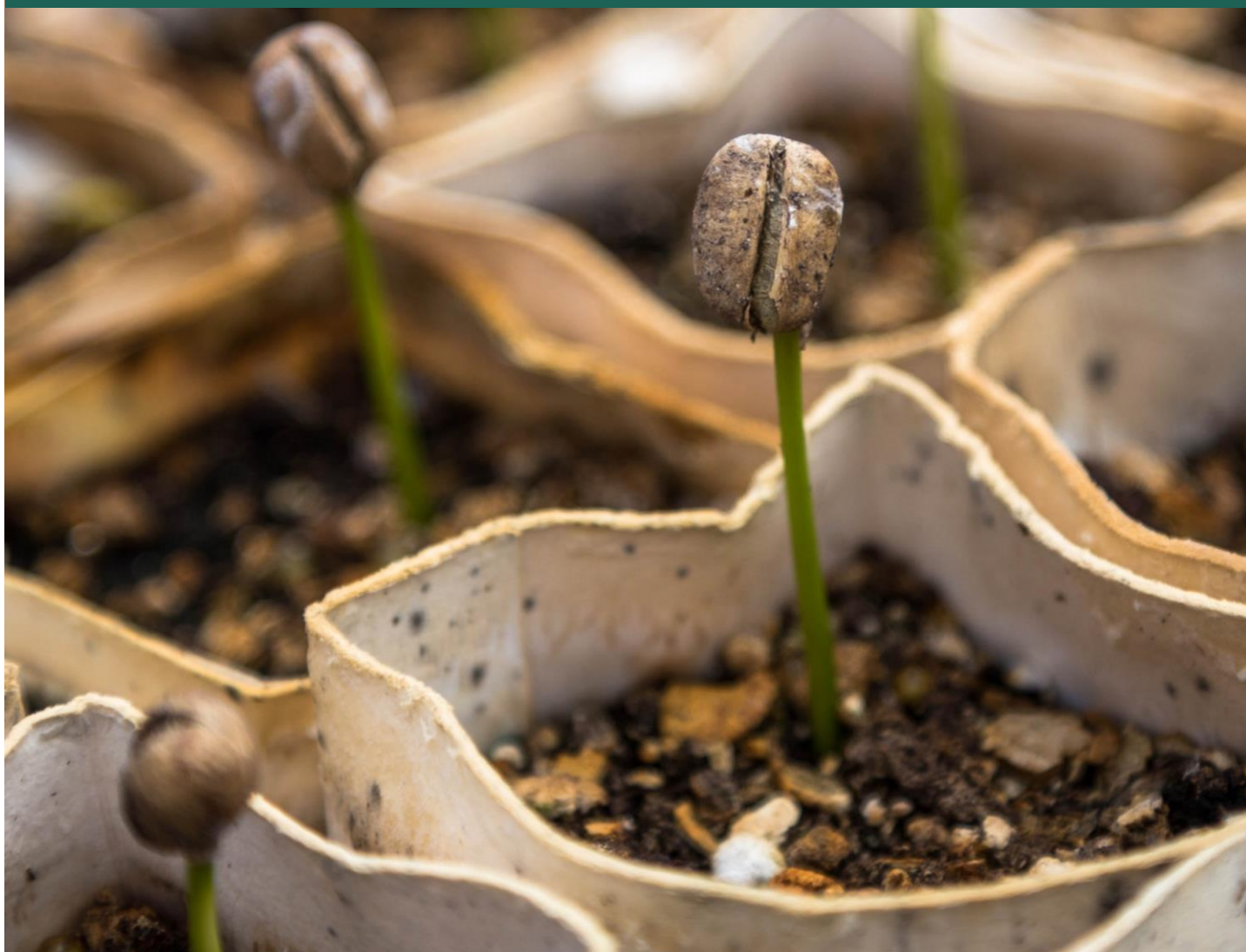




4C PESTICIDE LISTS

Version 4.0



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Abbreviations

IPM	Integrated Pest Management
PAN	Pesticide Action Network
PAN HHP	PAN International List of Highly Hazardous Pesticides

1 Introduction

The 4C Code of Conduct aims to foster safer workplaces and better living conditions. It includes three lists of pesticides (listed as technical names of active ingredients), which are operated as the 4C Pesticides Lists as part of the 4C Code of Conduct.

4C Pesticides Lists

The lists are divided into Unacceptable Practices pesticides, Red List pesticides and Yellow List pesticides, taking into account the following considerations:

References considered

- The ISEAL Alliance¹ takes as its key technical and scientific references the Highly Hazardous Pesticide List by Pesticide Action Network (PAN) International (June 2014 version). The PAN HHP List is also used as the key reference for hazard criteria used in its pesticide lists.
- The pesticide lists are focused on pesticides which are currently used in coffee production. Within each list, pesticides which are coffee relevant² are identified and highlighted for action.

2 Selection Criteria Used for the 4C Pesticide Lists

The 4C Pesticide Lists³ are based on two selection elements:

Hazard and alignment criteria

- Hazard criteria used in the PAN HHP List. These cover: acute toxicity for humans; chronic health hazards; environmental hazards; and pesticides in relevant international agreements on managing hazardous chemicals.
- Alignment criteria in relation to the status of pesticides on the lists of other relevant standards such as those listed in IPM coalition database.

The following section summarises the criteria used in each of the 4C Pesticide Lists. Full details of the hazard criteria and the sources for the official classifications can be found in the PAN International HHP List⁴. Shorter explanatory notes on the hazard classifications used are given at the end of this document.

Summary of criteria

Unacceptable Pesticides as described in Unacceptable Practice 8 of the 4C Code of Conduct. Active ingredients are listed under the following international agreements:

Alignment with international agreements

- Annex III of the Stockholm Convention on Persistent Organic Pollutants (POPs)

¹ For more info on ISEAL, see <http://www.isealalliance.org/>

² Coffee relevant pesticides refers to those which are in the revised Red or Yellow lists (or were in the former lists) and which have reported as in current use by some producers.

³ The lists were the result of consensus at the former 4C Association's Technical Committee based on evaluation of the feedback received from members and external stakeholders during two rounds of consultation between 2013 and 2014.

⁴ Downloadable via

http://www.pangermany.org/gbr/project_work/highly_hazardous_pesticides.html

- International agreement aimed at protecting human health and the environment from persistent organic pollutants.
- Annex III of Rotterdam Convention on Prior Informed Consent (PIC)
- International agreement that aims to provide governments with information on active ingredients banned elsewhere for health or environmental protection reasons.
- Montreal Protocol on Ozone Depleting Substances
- Global treaty designed to protect the ozone layer by phasing out the production of numerous substances that heavily contribute to ozone depletion. The only pesticide in the Protocol is the fumigant methyl bromide.

The use of any pesticide or Severely Hazardous Pesticide Formulation on any of these international agreements is an Unacceptable Practice for 4C Units and therefore prohibited within 4C Units in coffee farms and for 4C Compliant Coffee.

Prohibited pesticides

NOTE: The pesticide lists of international agreements continue to evolve. The Rotterdam and Stockholm Conventions may therefore add further pesticides to their lists in the future. To give 4C Units time to adapt, any newly listed pesticide under international agreements will be subject to a 3-year phase out period before being included (prohibited) in the 4C Unacceptable Practices list of pesticides.

Continuous update

3 4C Red List Pesticides: 4C Code of Conduct Requirements and Actions to be Promoted

The use of active ingredients listed in the 4C Red list qualifies for a red practice of principle 3.2 of the environmental dimension Use of Pesticides. Red practices have a phase out period of three years after the first certification. This means that 4C Units are expected to stop their use within 3 years at the latest, replacing them with safer Integrated Pest Management (IPM) methods.

Replace with safer IPM methods

Criteria for the Red List:

HAZARD: Pesticides in any one of the 3 most acutely toxic classifications via ingestion, skin contact or inhalation, OR known to be carcinogenic (causing cancer)

AND

ALIGNMENT: Pesticides (with Red List hazard characteristics) which are prohibited, or proposed to be prohibited⁵ in forthcoming revisions by two or more other standards

4 4C Yellow List Pesticides: 4C Code of Conduct Requirements and Actions to be Promoted

As a better practice (green criteria), 4C Units are required to have minimised the use of Yellow List pesticides and, if possible, phased out their use completely by replacing them with IPM alternative methods.

*Minimize and
replace*

Criteria for the Yellow List:

ALIGNMENT: Pesticides with Red List hazard characteristics but excluded from the Red List because they are prohibited by only one or no other standard.

HAZARD: Pesticides with chronic hazards in the classifications of probable carcinogens, known endocrine disruptors, known reproductive toxins, or known mutagens.

OR

HAZARD: Pesticides with one or more of the environmental hazards featured in the PAN HHP List (bioaccumulation, persistence, high toxicity to bees or aquatic organisms).

AND

ALIGNMENT: Pesticides with Yellow List hazard characteristics which are prohibited, restricted, monitored or on the proposed watch-list of one of the other standards or which meet the hazard criteria for the restricted, monitored or watch-lists of other standards

For further information, please contact the 4C Services GmbH at: info@4C-services.org.

⁵ Prohibited by a standard, except for any permitted derogations. Restricted, monitored or watch lists are not considered as prohibitions. Sources for standards used were: SAN draft 2014, UTZ draft 2013, FLO version 2012, Café Practices 2011.

Table 1: 4C list of unacceptable pesticides

No	Name of active ingredient of pesticide	CAS number	International Conventions		
			POP	PIC	Montreal
	The revised 4C Unacceptable List contains 25 pesticides, of which 3 are coffee relevant, and 4 severely hazardous pesticide formulations.				
	COFFEE RELEVANT Pesticides				
1	Aldicarb	116-06-3		x	
2	Endosulfan	115-29-7	x	x	
3	Methyl bromide	74-83-9			x
	OTHER Pesticides				
4	Alachlor	15972-60-8		x	
5	alpha-BHC; alpha-HCH	319-84-6	x		
6	Azinphos-methyl	86-50-0		x	
7	beta-HCH; beta-BCH	319-85-7	x		
8	Captafol	2425 06 1		x	
9	Chlordane	57-74-9	x	x	
10	DDT	50-29-3	x	x	
11	DNOC and its salts	534-52-1		x	
12	Ethylene dibromide (EDB: 1,2-dibromoethane)	106-93-4		x	
13	Ethylene dichloride (EDC)	107-06-2		x	
14	Ethylene oxide	75-21-8		x	
15	Fluoroacetamide	640-19-7		x	
16	Hexachlorobenzene (HCB)	118-74-1	x	x	
17	Hexachlorocyclohexane (HCH)	608-73-1		x	
18	Lindane	58-89-9	x	x	
19	Mercury and its compounds	7439-97-6		x	
20	Methamidophos	10265-92-6		x	
21	Monocrotophos	6923-22-4		x	
22	Parathion	56-38-2		x	
23	Parathion-methyl (methyl parathion)	298-00-0		x	
24	Pentachlorophenol (PCP)	87-86-5		x	
25	Phosphamidon	13171-21-6		x	
Severely Hazardous Pesticide Formulations (SHPF) listed in Annex III of PIC Convention:					
Dustable powder formulations containing a combination of: benomyl at or above 7%, carbofuran at or above 10% and thiram at or above 15%					
Methamidophos (soluble liquid formulations of the substance that exceed 600 g active ingredient/l)					
Parathion-methyl (emulsifiable concentrate (EC) at or above 19.5% active ingredient and dusts at or above 1.5% active ingredient)					
Phosphamidon (soluble liquid formulations of the substance that exceed 1000 g active ingredient/l)					
The 4C UAP List uses the following 3 hazard criteria in the PAN HHP List related to international agreements:		<ul style="list-style-type: none"> • Pesticides listed in the Stockholm Convention on Persistent Organic Pollutants (POPs) • Pesticides listed by the Rotterdam Convention on Prior Informed Consent (PIC). • Pesticides listed in the Montreal Protocol on Ozone Depleting Substances. 			

Table 2: 4C red pesticide list

No	Name of active ingredient of pesticide	CAS number	Acute Toxicity			Known Carcinogens		
			WHO 1a	WHO 1b	H330	EPA	IARC	EU GHS
	The 4C RED List contains 71 pesticides, of which 5 are coffee relevant.							
	COFFEE RELEVANT Pesticides							
1	Carbofuran	1563-66-2		x	x			
2	Paraquat dichloride	1910-42-5			x			
3	Terbufos	13071-79-9	x					
4	Triazophos	24017-47-8		x				
5	zeta-Cypermethrin	52315-07-8		x				
	OTHER Pesticides							
6	Acrolein	107-02-8		x	x			
7	Alpha-chlorohydrin	96-24-2		x				
8	Anthracene oil	90640-80-5						x
9	Arsenic and its compounds	7778-39-4				x	x	
10	Azinphos-ethyl	2642-71-9		x				
11	Beta-cyfluthrin; Cyfluthrin	68359-37-5		x	x			
12	Blastidicin-S	2079-00-7		x				
13	Brodifacoum	56073-10-0	x					
14	Bromadiolone	28772-56-7	x					
15	Bromethalin	63333-35-7	x					
16	Butoxycarboxim	34681-23-7		x				
17	Cadusafos	95465-99-9		x				
18	Carbosulfan	55285-14-8			x			
19	Chlorethoxyphos	54593-83-8	x					
20	Chlorfenvinphos	470-90-6		x				
21	Chlormephos	24934-91-6	x					
22	Chlorophacinone	3691-35-8	x					
23	Coumaphos	56-72-4		x				
24	Coumatetralyl	5836-29-3		x				
25	Demeton-S-methyl	919-86-8		x				
26	Dichlorvos; DDVP	62-73-7		x	x			
27	Dicrotophos	141-66-2		x				
28	Difenacoum	56073-07-5	x					
29	Difethialone	104653-34-1	x					
30	Dinoterb	1420-07-1		x				
31	Diphacinone	82-66-6	x					
32	Disulfoton	298-04-4	x					
33	Edifenphos	17109-49-8		x				
34	Epichlorohydrin	106-89-8						x
35	EPN	2104-64-5	x					
36	Ethiofencarb	29973-13-5		x				
37	Ethoprophos; Ethoprop	13194-48-4	x		x			
38	Famphur	52-85-7		x				

39	Fenamiphos	22224-92-6		x				
40	Fenchlorazole-ethyl	103112-35-2						x
41	Fentin acetate	900-95-8			x			
42	Fentin hydroxide	76-87-9			x			
43	Flocoumafen	90035-08-8	x		x			
44	Flucythrinate	70124-77-5		x				
45	Formaldehyde	50-00-0					x	
46	Formetanate	22259-30-9		x	x			
47	Furathiocarb	65907-30-4		x	x			
48	Heptenophos	23560-59-0		x				
49	Isoxathion	18854-01-8		x				
50	Mecarbam	2595-54-2		x				
51	Methidathion	950-37-8		x				
52	Methiocarb	2032-65-7		x				
53	Methomyl	16752-77-5		x				
54	Mevinphos	7786-34-7	x					
55	Nicotine	54-11-5		x				
56	Omethoate	1113-02-6		x				
57	Oxamyl	23135-22-0		x	x			
58	Oxydemeton-methyl	301-12-2		x				
59	Paraffin oils; mineral oils	64741-88-4 64741-89-5 64741-97-5 64742-46-7 64742-54-7 64742-55-8 64742-65-0 72623-86-0 97862-82-3						x
60	Phorate	298-02-2	x					
61	Propetamphos	31218-83-4		x				
62	Sodium fluoroacetate (1080)	62-74-8	x		x			
63	Strychnine	57-24-9		x				
64	Sulfotep	3689-24-5	x					
65	Tebupirimifos	96182-53-5	x					
66	Tefluthrin	79538-32-2		x				
67	Thiofanox	39196-18-4		x				
68	Thiometon	640-15-3		x				
69	Vamidotion	2275-23-2		x				
70	Warfarin	81-81-2		x				
71	Zinc phosphide	1314-84-7		x				

The 4C Red List uses the following 4 hazard criteria in the PAN HHP List:

Acute toxicity for mammals, including humans:	'Extremely hazardous' WHO class 1a according to the World Health Organisation Recommended Classification of Pesticides by hazard; 'Highly hazardous' WHO class 1b according to the WHO Recommended Classification of Pesticides by hazard; 'Fatal if inhaled' H330 hazard statement according to the Globally Harmonized System (GHS) for classification and labelling of chemicals.
Cancer hazard:	The highest concern classifications, equivalent to 'known carcinogen', according to the US Environmental Protection Agency (EPA), the International Agency for Research on Cancer (IARC) and the Globally Harmonized System (GHS).

Table 3: 4C yellow pesticide list

No	Name of active ingredient of pesticide	CAS number	Probable Carcinogens		Chronic Toxicity			Environmental Relevance			Acute Toxicity		Known Carcinogens				
			EPA	IARC	Muta	Repro	EDC	Bio acc	Very pers in water, soil, or sediment	Very toxic to aquatic organisms	Toxic for bees	WHO 1a	WHO 1b	H330	EPA	IARC	EU GHS
	The revised 4C Yellow List contains 82 pesticides, of which 19 are coffee relevant.																
	COFFEE RELEVANT Pesticides																
1	Abamectin	71751-41-2									x			x			
2	Aluminum phosphide	20859-73-8									x						
3	Carbaryl	63-25-2	x				x				x						
4	Carbendazim	10605-21-7			x	x	x										
5	Chlorantraniliprole	500008-45-7							x	x							
6	Chlorothalonil	1897-45-6	x											x			
7	Chlorpyrifos	2921-88-2									x						
8	Deltamethrin	52918-63-5					x				x						
9	Dimethoate	60-51-5					x				x						
10	Diuron	330-54-1	x				x										
11	Epoxiconazole	133855-98-8	x				x										
12	Fenitrothion	122-14-5					x				x						
13	Fipronil	120068-37-3									x						
14	Glyphosate	1071-83-6														x	
15	Lambda-cyhalothrin	91465-08-6					x				x			x			
16	Malathion	121-75-5					x				x						
17	Mancozeb	8018 01 7	x				x										
18	Permethrin	52645-53-1	x				x										
19	Propargite	2312-35-8	x					x		x							
20	Thiamethoxam	153719-23-4									x						
	OTHER Pesticides																
20	Acephate	30560-19-1					x				x						
21	Amitrole	61-82-5					x		x								
22	Atrazine	1912-24-9					x										
23	Azafenidin	68049-83-2				x											
24	Azocyclotin	41083-11-8						x						x			
25	Bifenthrin	82657-04-3					x				x						
26	Borax; disodium tetraborate decahydrate	1303-96-4				x											
27	Boric acid	10043-35-3				x	x										
28	Bromoxynil	1689-84-5					x							x			
29	Chlorfenapyr	122453-73-0									x						
30	Chloropicrin	76-06-2												x			
31	Chlorotoluron	15545-48-9					x		x								
32	Clothianidin	210880-92-5									x						
33	Creosote	8001-58-9	x	x													x

80	Zineb	12122-67-7						x									
81	Ziram	137-30-4						x								x	
82	Z-phosphamidon	23783-98-4													x		

The 4C Yellow List uses the following hazard criteria in the PAN HHP List:

Cancer hazard:	The second highest concern classifications, equivalent to 'probable or likely carcinogen', according to the US Environmental Protection Agency (EPA), the International Agency for Research on Cancer (IARC) and the Globally Harmonized System (GHS)
Chronic health hazards:	Known mutagenic substances (MUT), according to the Globally Harmonized System (GHS). These are known to trigger mutations in human germ cells (eggs or sperm) which can be inherited by the children. Known or presumed human reproductive toxicants, (REPRO) according to the Globally Harmonized System (GHS). These substances can adversely affect human reproduction. Endocrine disruptors, (EDC) according to GHS and EU classifications. These substances can upset the hormone signalling systems in humans, with effects on normal development, growth, reproduction, metabolism, and links to cancers of the reproductive organs.
Environmental hazards:	Very persistent in water, soil, or sediment (=P), according to the Stockholm Convention. Very bio accumulative (=B), according to the Stockholm Convention. These substances build up in the food chain, affecting top level predators, including humans. Very toxic for aquatic organisms (=T), according to water flea toxicity threshold data used in the Pesticide Properties Database (University of Hertfordshire). Highly toxic for bees, according to toxicity threshold data of US Environmental Protection Agency. Note that to qualify in the PAN HHP List for environmental hazards, a pesticide must meet two of the three criteria for P/B/T and/or be highly toxic for bees.
Alignment criteria: To ensure alignment with other standards, any pesticides which have 4C Red hazard criteria BUT which are not prohibited by 2 or more other standards are allocated to the 4C Yellow List. These pesticides are indicated in the columns "Acute Toxicity" and "Known Carcinogens". To see the details of their hazard criteria, please look at the PAN HHP List (June 2014 version).	