



# **First 4C Carbon Footprint Add-On Audit**

**29<sup>th</sup> March 2023**



# Who is Africert?



- ❖ AfriCert Limited is a competitive Certification company accredited and approved by various standard owners in the Agribusiness sector. We take pride in our work and are committed to providing and maintaining consistent and high quality certification services.
- ❖ AfriCert has internationally qualified personnel who carry out audits and verifications towards certification. AfriCert Limited is accredited by IOAS for the scope of product certification under ISO/IEC 17065



# Introduction Cont'



In addition to **4C audits**, Africert also conducts;

- ❖ Rainforest Alliance, Sustainable Agriculture Standard
- ❖ GlobalG.A.P.
- ❖ GlobalG.A.P. GRASP- GlobalG.A.P. Risk Assessment and Social Practice,
- ❖ EU Equivalent standard for Organic Production and processing in Third countries.
- ❖ The Union for Ethical Biotrade (UEBT).

We also conducts verifications for; Cotton made in Africa, Better Cotton Initiative and CAFÉ Practices by Starbucks. We also conduct verifications which are clients specific.



# Audits conducted



- ❖ In reference to 4C, Africert has been conducting 4C audits since 2010 against 4C core requirement while we did first Chain of Custody audit in 2022. These audits were conducted various countries ie in Cote D' Ivoire, Tanzania, Rwanda, Burundi, Cameroon, Uganda, Kenya.



# Step by Step in audit preparation (Core requirements)



- ❖ The Managing Entity contacts CB for enquiry and clarification of 4C audits requirements.
- ❖ Managing Entity submits application documents (BPM and IP if applicable)
- ❖ CB conducts GRAS risk assessment whose results advices on sample. With the sample quote is generated and communicated to ME.
- ❖ Upon quote approval, contract is signed between Africert and ME.
- ❖ CB reviews applications documents if are in order, registration of the audit commences which is for ME confirming the audit, 4C Services validates application in the portal.
- ❖ Audit plan is also registered in the portal specifying audit date, audit team, uploading the audit document(BPM, IP, GRAS report)
- ❖ Onsite audit commences upon audit plan validation by 4C Services.



# Step by Step in audit preparation cont'



- ❖ Sample list of business partners to be visited is developed (to be shared with ME within 48 hours before start of the audit)
- ❖ CB prepares an audit plan which shows schedule of the audit, it is shared with the ME prior to the audit.
- ❖ After the audit, audit team prepares draft report, uploads it in the portal for Improvement Plan to be generated. If the Major NCs, the ME closes them for certification decision to be made within defined timelines; 60 days after closing meeting.
- ❖ 4C validates the decision made by the CB upon review of uploaded audit documents. The certificate is uploaded in the 4C website.



# 4C Carbon Footprint Add-on



- ❖ Africert had an opportunity to participate in 4C Carbon Footprint Add-On facilitated by 4C Services. The training took place on 16-18 May 2022.
- ❖ Upon participating in the training, we got an opportunity to participate in 4C Carbon Footprint Add-On pilot project in Tanzania. The audit was done on 12 -21 September 2022.



# Audit Preparation



- ❖ For the preparation of 4C Carbon Footprint Add-on, the following were received prior to the audit;
  - ❑ Baseline GHG calculator,
  - ❑ 4C Carbon Footprint Add-on Improvement plan,
  - ❑ Farm sample for data collection, protocol clustering and sampling,
  - ❑ Business Partner Map.

The review of the documents was conducted prior to onsite audit.





# Audit preparation cont'



- ❖ 4C audit Checklist GHG Add-On was one of the tool used by the auditors in the field.
- ❖ Since it was a pilot project the process for audit registration was similar to 4C core requirements, however, in future the registration will be combined as a single application.



# Onsite Audit



- ❖ The Managing Entity had conducted data collection for 126 BP producers in two regions (Kyerwa and Karagwe districts), these formed the basis of our sample list. 48 Business partners were visited i.e 43 BP Producers and 5 BP Service Providers.



# Onsite Audit



❖ The audit started with documents review at Managing Entity level by engaging the ME representatives in elaborating the results of the calculator, however the evaluation of criteria was guided by the checklist.

## Auditor





# Onsite Audit



❖ Documents check was also done at BP Service Provider level.

In addition to document check, farms were inspected and farmers' interviews conducted.





# Onsite Audit



❖ The results of the audit were compared with the previous filled data in the calculator, where discrepancies were identified.

❖ There were also gaps identified in reference to criteria requirement in the checklist.





# Onsite Audit



- ❖ The identified gaps were categorized into major NCs which were addressed, and a positive certification decision was made.
- ❖ The Managing Entity was issued with a certificate to sell coffee as Climate Friendly Coffee with verified GHG emission values.



# Appreciation and support



- ❖ In the entire audit process, we received unconditional support from 4C Services. This was also fast forwarded to the field as 4C Services team, Meo Carbon and Touton accompanied the audit team to the field.





# Challenges / Learnings



❖ Change of the IMS staff prior to the audit might lead to delayed feedback.



❖ Proper sensitization of 4C Carbon Footprint Add-On requirements at the Managing Entity level and along the supply chain.

❖ Requires good preparation by the Managing Entity on documentation and trainings.





# Difficulties



❖ Questions



Asante/ Thank you

